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## A generalization of close-to-convex functions\*

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### 1. Introduction

Let  $A$  denote the class of functions of the form:

$$(1) \quad f(z) = z + \sum_{k=2}^{\infty} a_k z^k,$$

which are analytic in the unit disk  $U = \{z : |z| < 1\}$ . Let  $P_{\theta}(\alpha)$  denote the class of functions of the form:

$$f(z) = e^{-i\theta} + \sum_{k=1}^{\infty} a_k z^k \quad (-\cos^{-1} \alpha < \theta < \cos^{-1} \alpha),$$

which are analytic and  $\operatorname{Re} f(z) > \alpha$  ( $0 \leq \alpha < 1$ ) in the unit disk  $U$ . We set  $P(\alpha) = P_0(\alpha)$ .

For a function  $f(z)$  in the class  $A$ , Salagean ([6]) defined the differential operator  $D^n$ ,  $n \in \mathbb{N}_0 = \{0, 1, 2, 3, \dots\}$ , by

$$D^0 f(z) = f(z), \quad D^1 f(z) = Df(z) = zf'(z)$$

and

$$D^{n+1} f(z) = D(D^n f(z)) \quad (n \in \mathbb{N} = \{1, 2, 3, \dots\}).$$

If a function  $f(z) \in A$  is defined by the form (1), then

$$D^n f(z) = z + \sum_{k=2}^{\infty} k^n a_k z^k.$$

Salagean ([6]) also defined the subclass  $S^n(\alpha)$  of the class  $A$  by

$$S^n(\alpha) = \left\{ f(z) \in A : \frac{D^{n+1} f(z)}{D^n f(z)} \in P(\alpha) \right\}$$

for some  $\alpha$  ( $0 \leq \alpha < 1$ ) and for some  $n \in \mathbb{N}_0$ . From equalities

$$\frac{D^1 f(z)}{D^0 f(z)} = \frac{zf'(z)}{f(z)} \quad \text{and} \quad \frac{D^2 f(z)}{D^1 f(z)} = 1 + \frac{zf''(z)}{f'(z)},$$

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it follows that  $S^0(\alpha) = S^*(\alpha)$  and  $S^1(\alpha) = K(\alpha)$ , where  $S^*(\alpha)$  and  $K(\alpha)$  are classes consisting of all starlike and convex (univalent) functions of order  $\alpha$ , respectively.

Now we introduce a new class. Let  $0 \leq \alpha < 1$ ,  $0 \leq \beta < 1$  and  $-\cos^{-1} \beta < \theta < \cos^{-1} \beta$ . Then a function  $f(z) \in A$  is said to be in the class  $C_\theta^n(\alpha, \beta)$  if and only if there is a function  $g(z) \in S^n(\alpha)$  and a real number  $\theta$  such that  $\frac{D^n f(z)}{e^{i\theta} D^n g(z)} \in P_\theta(\beta)$ . Further, we set

$$\overline{C}^n(\alpha, \beta) = \bigcup \{C_\theta^n(\alpha, \beta) : -\cos^{-1} \beta < \theta < \cos^{-1} \beta\}$$

and

$$\underline{C}^n(\alpha, \beta) = \bigcap \{C_\theta^n(\alpha, \beta) : -\cos^{-1} \beta < \theta < \cos^{-1} \beta\}.$$

Kaplan ([3]) defined the class  $C_0^1(0, 0)$  of close-to-convex functions, and Libera ([4]) defined the class  $C_\theta^1(\alpha, \beta)$  of close-to-convex functions of order  $\beta$  and type  $\alpha$ . Goodman and Saff ([2]) defined the class  $\underline{C}^1(0, 0)$ , and showed the result  $C^1(0, 0) = K(0)$  without its proof. The new class  $\overline{C}^n(\alpha, \beta)$  is a generalization of the class of close-to-convex functions of order  $\alpha$  and type  $\beta$ . With virtue of Lemma 1, Theorems 1 and 2, a function in the class  $\overline{C}^n(\alpha, \beta)$  is said to be a *close-to- $S^n(\alpha)$  function of order  $\beta$* , or a *close-to- $S^n$  function of order  $\beta$  and type  $\alpha$* . A function  $f(z)$  in the class  $\overline{C}^0(\alpha, 0)$  (or  $\overline{C}^1(\alpha, 0)$ ) is, respectively, known as a close-to-star function of type  $\alpha$  (or a close-to-convex function of type  $\alpha$ ).

## 2. Preliminaries

To get our results, we need some lemmas as follows.

**Lemma A (MacGregor [5]).** Let  $0 \leq \alpha < 1$ . Then  $K(\alpha) \subset S^*(\phi)$ , where

$$(2) \quad \begin{cases} \phi \equiv \phi(\alpha) = \frac{1-2\alpha}{2(2^{1-2\alpha}-1)} & (\alpha \neq \frac{1}{2}) \\ \phi \equiv \phi(\frac{1}{2}) = \frac{1}{2 \log 2} & (\alpha = \frac{1}{2}). \end{cases}$$

The value of  $\phi$  satisfies that

$$\max\{\alpha, \frac{1}{2}\} < \phi(\alpha) < 1 \quad (0 \leq \alpha < 1)$$

**Lemma B (Salagean [6]).** Let  $0 \leq \alpha < 1$  and  $n \in \mathbb{N}_0$ . Then  $S^{n+1}(\alpha) \subset S^n(\phi(\alpha))$ , where  $\phi(\alpha)$  is given by (2).

For  $0 \leq \alpha < 1$  and  $\phi(\alpha)$  defined by (2), let  $\{\phi_p\}_0^\infty$  be a sequence defined by mathematical induction as follows:

$$(3) \quad \phi_0 = \alpha, \quad \phi_{p+1} = \phi(\phi_p) \quad (p \in \mathbb{N}_0).$$

The sequence  $\{\phi_p\}$  satisfies that

$$\max\{\alpha, \frac{1}{2}\} < \phi_1 < \cdots < \phi_p < \phi_{p+1} < \cdots < 1, \quad \phi_p \rightarrow 1 \quad (p \rightarrow \infty).$$

We get easily the following lemma with virtue of Lemma B.

**Lemma 1.** Let  $n \in \mathbb{N}_0, p \in \mathbb{N}, 0 \leq \alpha < 1$  and let  $\{\phi_p\}$  be defined by (3). Then

$$S^{n+p}(\alpha) \subset S^n(\phi_p) \subsetneq S^n(\alpha).$$

**Lemma C (Bernardi [1]).** Let  $0 \leq \alpha < 1, \operatorname{Re} c \leq \alpha$  and  $f(z) \in P(\alpha)$ . Then

$$\left| \frac{f'(z)}{f(z) - c} \right| \leq \frac{2(1 - \alpha)}{(1 - |z|)\{1 - \operatorname{Re} c + (1 - 2\alpha + \operatorname{Re} c)|z|\}}.$$

### 3. Main results

**Theorem 1.** Let  $n \in \mathbb{N}_0, 0 \leq \alpha < 1$  and  $0 \leq \beta < 1$ . Then  $S^n(\alpha) = \underline{C}^n(\alpha, \beta) \subsetneq C_\theta^n(\alpha, \beta)$  for all real  $\theta$  ( $|\theta| < \cos^{-1} \beta$ ).

*Proof.* If  $f(z) \in S^n(\alpha)$ , then there is a function  $g(z) \equiv f(z) \in S^n(\alpha)$  such that  $\frac{D^n f(z)}{e^{i\theta} D^n g(z)} \equiv e^{-i\theta} \in P_\theta(\beta)$  for  $0 \leq \beta < 1$  and real  $\theta$  ( $|\theta| < \cos^{-1} \beta$ ), which proves  $S^n(\alpha) \subset \underline{C}^n(\alpha, \beta)$ . Conversely, suppose  $f(z) \in \underline{C}^n(\alpha, \beta)$  for  $0 \leq \alpha < 1$  and  $0 \leq \beta < 1$ . Then for all real  $\theta$  ( $|\theta| < \cos^{-1} \beta$ ) there is a function  $g(z) \equiv g_\theta(z) \in S^n(\alpha)$  such that  $\frac{D^n f(z)}{e^{i\theta} D^n g(z)} \in P_\theta(\beta)$ . Applying the function  $w(z)$  defined by

$$w(z) = \frac{D^n f(z)}{e^{i\theta} D^n g(z)} + 1 - e^{-i\theta} \in P(1 - \cos \theta + \beta) \quad (0 < \beta < 1)$$

to Lemma C, we have

$$\left| \frac{D^{n+1} f(z)}{D^n f(z)} - \frac{D^{n+1} g(z)}{D^n g(z)} \right| = \left| \frac{zw'(z)}{w(z) + e^{-i\theta} - 1} \right| \leq \frac{2(\cos \theta - \beta)|z|}{(1 - |z|)\{\cos \theta + (\cos \theta - 2\beta)|z|\}}$$

and therefore

$$\begin{aligned} (4) \quad \operatorname{Re} \frac{D^{n+1} f(z)}{D^n f(z)} &\geq \operatorname{Re} \frac{D^{n+1} g(z)}{D^n g(z)} - \frac{2(\cos \theta - \beta)|z|}{(1 - |z|)\{\cos \theta + (\cos \theta - 2\beta)|z|\}} \\ &\geq (1 - \alpha) \frac{1 - |z|}{1 + |z|} + \alpha - \frac{2(\cos \theta - \beta)|z|}{(1 - |z|)\{\cos \theta + (\cos \theta - 2\beta)|z|\}}. \end{aligned}$$

For fixed  $z \in U$ , the value of the last formula of inequality (4) is larger than  $\alpha$  when we choose  $\theta$  such that the value of  $\cos \theta - \beta > 0$  is sufficiently small. This proves  $f(z) \in S^n(\alpha)$  and hence  $S^n(\alpha) = \underline{C}^n(\alpha, \beta)$  for  $0 < \beta < 1$ . For  $\beta = 0$ , we define the function  $p(z) \in P(0)$  by

$$p(z) \cos \theta - i \sin \theta = \frac{D^n f(z)}{e^{i\theta} D^n g(z)} \in P_\theta(0)$$

Then we have

$$\begin{aligned} (5) \quad \operatorname{Re} \frac{D^{n+1} f(z)}{D^n f(z)} &\geq \operatorname{Re} \frac{D^{n+1} g(z)}{D^n g(z)} - \left| \frac{D^{n+1} f(z)}{D^n f(z)} - \frac{D^{n+1} g(z)}{D^n g(z)} \right| \\ &\geq \alpha + (1 - \alpha) \frac{1 - |z|}{1 + |z|} - \left| \frac{zp'(z) \cos \theta}{p(z) \cos \theta - i \sin \theta} \right|. \end{aligned}$$

For fixed  $z \in U$ , the value of the last formula of inequality (5) is larger than  $\alpha$  for sufficiently small  $\cos \theta > 0$ . This proves  $f(z) \in S^n(\alpha)$  and hence  $S^n(\alpha) = \underline{C}^n(\alpha, 0)$ . Finally, we have to prove  $S^n(\alpha) \neq C_\theta^n(\alpha, \beta)$ , and hence the existence of a function in the class  $C_\theta^n(\alpha, \beta) - S^n(\alpha)$  for all real  $\theta (|\theta| < \cos^{-1} \beta)$ . The function  $f_\theta(z) \in A$  defined by  $D^n f_\theta(z) = \frac{z\{1 + e^{i\theta}(e^{i\theta} - 2\beta)z\}}{(1-z)^{3-2\alpha}}$  is in the class  $C_\theta^n(\alpha, \beta)$ . Because the function  $g(z) \in A$  defined by  $D^n g(z) = \frac{z}{(1-z)^{2(1-\alpha)}}$  satisfies

$$g(z) \in S^n(\alpha), \quad \frac{D^n f_\theta(z)}{e^{i\theta} D^n g(z)} = \frac{e^{-i\theta} + (e^{i\theta} - 2\beta)z}{1-z} \in P_\theta(\beta).$$

That  $f_\theta(z) \notin S^n(\alpha)$  for any  $0 \leq \alpha < 1$  and  $0 \leq \beta < \cos \theta$  is shown as follows. Suppose that  $f_\theta(z) \in S^n(\alpha)$  for some  $\alpha (0 \leq \alpha < 1)$  and some  $\beta (0 \leq \beta < \cos \theta)$ . Since

$$\frac{D^{n+1} f_\theta(z)}{D^n f_\theta(z)} = 2\alpha - 1 - \frac{1}{1 + e^{i\theta}(e^{i\theta} - 2\beta)z} + \frac{3-2\alpha}{1-z},$$

hence the inequality

$$(6) \quad \operatorname{Re} \frac{D^{n+1} f_\theta(-re^{-i\theta})}{D^n f_\theta(-re^{-i\theta})} = 2\alpha - 1 - \frac{1 + 2\beta r - r \cos \theta}{(1 + 2\beta r - r \cos \theta)^2 + r^2 \sin^2 \theta} + \frac{(3-2\alpha)(1 + r \cos \theta)}{(1 + r \cos \theta)^2 + r^2 \sin^2 \theta} > \alpha$$

has to hold true for some  $\alpha (0 \leq \alpha < 1)$ , some  $\beta (0 < \beta < 1)$ , all  $r (0 \leq r < 1)$  and all  $\theta (|\theta| < \cos^{-1} \beta)$ , and the inequality

$$(7) \quad \operatorname{Re} \frac{D^{n+1} f_\theta(-re^{-2i\theta})}{D^n f_\theta(-re^{-2i\theta})} = 2\alpha - 1 - \frac{1}{1-r} + \frac{(3-2\alpha)(1 + r \cos 2\theta)}{1 + 2r \cos 2\theta + r^2} > \alpha$$

has to hold true for some  $\alpha (0 \leq \alpha < 1)$ ,  $\beta = 0$ , all  $r (0 \leq r < 1)$  and all  $\theta (|\theta| < \frac{\pi}{2})$ . When  $0 \leq \alpha < 1$  and  $0 < \beta < 1$ , we have

$$\lim_{r \rightarrow 1-0} \operatorname{Re} \frac{D^{n+1} f_\theta(-re^{-i\theta})}{D^n f_\theta(-re^{-i\theta})} = \alpha - \frac{2\beta(\cos \theta - \beta)}{(1 + 2\beta - \cos \theta)^2 + \sin^2 \theta} < \alpha$$

for fixed  $\theta$  and  $\alpha$ , which contradicts the inequality (6). When  $0 \leq \alpha < 1$  and  $\beta = 0$ , we have

$$\lim_{r \rightarrow 1-0} \operatorname{Re} \frac{D^{n+1} f_\theta(-re^{-2i\theta})}{D^n f_\theta(-re^{-2i\theta})} = -\infty < \alpha$$

for fixed  $\theta$  and  $\alpha$ , which contradicts the inequality (7). This proves  $f_\theta(z) \notin S^n(\alpha)$ .  $\square$

**Theorem 2.** Let  $n \in \mathbb{N}$ ,  $0 \leq l \leq n-1$  and  $0 \leq \alpha < 1$ . Then

$$(8) \quad S^{n-1}(\alpha) \subset C_0^n(\alpha, \beta) \quad (0 \leq \beta \leq \alpha)$$

and

$$(9) \quad S^l(\alpha) \not\subset \overline{C}^n(\alpha, \beta) \quad (\alpha < \beta < 1).$$

*Proof.* Let  $f(z) \in S^{n-1}(\alpha)$ , and  $g(z) = \int_0^z \frac{f(z)}{z} dz$ . Then we have

$$zg'(z) = f(z), \quad D^n g(z) = D^{n-1} f(z) \in S^*(\alpha)$$

Therefore there is the function  $g(z) \in S^n(\alpha)$  such that  $\frac{D^n f(z)}{D^n g(z)} = \frac{D^n f(z)}{D^{n-1} f(z)} \in P(\alpha)$ . This proves  $S^{n-1}(\alpha) \subset C_0^n(\alpha, \alpha)$  and (8). We define the function  $f_\alpha(z) \in A$  by

$$D^{n-1} f_\alpha(z) = \frac{z}{(1-z)^{2(1-\alpha)}} \in S^*(\alpha) \quad (0 \leq \alpha < \beta < 1).$$

Since  $f_\alpha(z) \in S^{n-1}(\alpha)$ , we have only to prove  $f_\alpha(z) \notin C_\theta^n(\alpha, \beta)$  for all  $\alpha, \beta$  and  $\theta$  ( $0 \leq \alpha < \beta < \cos \theta \leq 1$ ) to prove (9). If  $f_\alpha(z) \in C_\theta^n(\alpha, \beta)$  for some  $\alpha, \beta$  and  $\theta$  ( $0 \leq \alpha < \beta < \cos \theta \leq 1$ ), then there is a function  $g(z) \in S^n(\alpha)$  such that  $\frac{D^n f_\alpha(z)}{e^{i\theta} D^n g(z)} \in P_\theta(\beta)$ . We define the function  $w(z)$  by

$$w(z) = \frac{\{D^{n-1} f_\alpha(z)\}'}{e^{i\theta} \{D^{n-1} g(z)\}'} = \frac{D^n f_\alpha(z)}{e^{i\theta} D^n g(z)} \in P_\theta(\beta).$$

Since

$$D^{n-1} g(z) \in K(\alpha), \quad \frac{zw'(z)}{w(z)} = \frac{z\{D^{n-1} f_\alpha(z)\}''}{\{D^{n-1} f_\alpha(z)\}'} - \frac{z\{D^{n-1} g(z)\}''}{\{D^{n-1} g(z)\}'},$$

hence we have

$$\begin{aligned} \operatorname{Re} \frac{zw'(z)}{w(z)} &= \operatorname{Re} \left( 1 + \frac{z\{D^{n-1} f_\alpha(z)\}''}{\{D^{n-1} f_\alpha(z)\}'} \right) - \operatorname{Re} \left( 1 + \frac{z\{D^{n-1} g(z)\}''}{\{D^{n-1} g(z)\}'} \right) \\ &\leq \operatorname{Re} \left( 1 + \frac{(1-2\alpha)z}{1+(1-2\alpha)z} + \frac{(3-2\alpha)z}{1-z} \right) - (1-\alpha) \frac{1-|z|}{1+|z|} - \alpha \\ &= 2(1-\alpha) \operatorname{Re} \left( \frac{2z+(1-2\alpha)z^2}{(1-z)\{1+(1-2\alpha)z\}} + \frac{|z|}{1+|z|} \right), \quad (|z| < 1) \end{aligned}$$

and

$$(10) \quad \operatorname{Re} \frac{-rw'(-r)}{w(-r)} \leq -\frac{2(1-\alpha)r}{(1+r)\{1-(1-2\alpha)r\}} \quad (0 \leq r < 1).$$

Otherwise, from the relation  $\frac{w(z)+i \sin \theta}{\cos \theta} \in P(\frac{\beta}{\cos \theta})$  and Lemma C, we also have

$$\left| \operatorname{Re} \frac{zw'(z)}{w(z)} \right| \leq \frac{2(\cos \theta - \beta)|z|}{(1-|z|)\{\cos \theta + (\cos \theta - 2\beta)|z|\}} \quad (|z| < 1),$$

and

$$(11) \quad \operatorname{Re} \frac{-rw'(-r)}{w(-r)} \geq -\frac{2(\cos \theta - \beta)r}{(1-r)\{\cos \theta + (\cos \theta - 2\beta)r\}} \quad (0 \leq r < 1).$$

Therefore, with virtue of inequalities (10) and (11), we have

$$(12) \quad \frac{1-\alpha}{(1+r)\{1-(1-2\alpha)r\}} < \frac{\cos \theta - \beta}{(1-r)\{\cos \theta + (\cos \theta - 2\beta)r\}}$$

for some  $\alpha, \beta$  and  $\theta$  ( $0 \leq \alpha < \beta < \cos \theta \leq 1$ ), and all  $r$  ( $0 < r < 1$ ). Letting  $r \rightarrow 0$  in the both sides of the inequality (12), we get  $\beta \leq \alpha \cos \theta \leq \alpha$ , which contradicts  $\alpha < \beta$ . This proves (9) for  $l = n - 1$ . By Lemma 1, we prove the assertion (9) for  $0 \leq l \leq n - 1$ .  $\square$

Many mathematicians have given the class of close-to-convex functions *geometrical* meanings. One of the meanings is that the boundary curve of the image  $f(U)$  of the unit disk  $U$  by a close-to-convex function  $f(z)$  has no "hair pin" bend that exceeds  $\pi$ . Another is that the complex plane minus the image  $f(U)$  is the union of closed half-lines such that the corresponding open half-lines are disjoint.

We give the class  $\overline{C}^n(\alpha, \beta)$  of close-to- $S^n(\alpha)$  functions of order  $\beta$  *set-theoretical* meanings as follows:

$$(13) \quad \begin{cases} S^m(\alpha) \subsetneq S^n(\alpha) = \underline{C}^n(\alpha, \beta) \subsetneq \overline{C}^n(\alpha, \beta) & (0 \leq \alpha < 1, 0 \leq \beta < 1, n < m), \\ S^l(\alpha) \not\subset \overline{C}^n(\alpha, \beta) & (0 \leq \alpha < \beta < 1, 0 \leq l \leq n-1), \\ S^{n-1}(\alpha) \subset C_0^n(\alpha, \beta) \subsetneq \overline{C}^n(\alpha, \beta) & (0 \leq \beta \leq \alpha < 1). \end{cases}$$

Putting  $n = 1$  and  $\beta = 0$  in the last inclusion relation of (13), we have the following Corollary which is well-known.

**Corollary.** *A starlike function of order  $\alpha$  is a close-to-convex of order  $\alpha$ .*

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